	orrespondence is being deposited with the ce as Express Mail No. ET593400015US in an
envelope addressed to: As Washington, D.C. on	st. Commissioner of Patents and Trademarks,
Ву:	molen

<u>PATENT</u> 20093A-002220US

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

ERIC H. HOLMES et al.

Parent Application No.: 09/298,886 Application No.: Not Assigned

Parent Filed: April 23, 1999

Filed: Herewith

For: NUCLEIC ACIDS AND PROTEINS OF A RAT GANGLIOSIDE GM1-SPECIFIC ALPHA 1→2 FUCOSYLTRANSFERASE AND USES THEREOF Parent Exr. S. Haushal Examiner: Not Assigned

Parent Art Unit: 1633

Art Unit: Not Assigned

**PRELIMINARY AMENDMENT** 

Box Patent Application Assistant Commissioner for Patents Washington, D.C. 20231

Dear Sir:

The present application is a divisional of co-pending application United States Serial Number 09/298,886. Prior to examination of the above-referenced application, please enter the following amendments and remarks.

## **IN THE SPECIFICATION:**

Kindly delete pages i through iii.

At page 1, line 3, kindly add the following:

1

2

3

4

5

1

2

3

4

ERIC H. HOLMES et al. Serial No. Not Assigned Page 2

--The present application is a divisional application of United States Serial Number 09/298,866, filed April 23, 1999, the entire disclosure of which is hereby incorporated by reference.--

At page 53, please delete lines 9-12 and replace with the following: --An appendix showing all changes to the specification is attached to this amendment as required by 35 C.F.R. § 1.121(b).

<u>Microorganisms</u>	Accession Number
CAT-RFT-pPROTA in E. coli INV $\alpha$	207225
FL-RFT-pcDNA3 in E. coli DH5α	207224

# IN THE CLAIMS:

Kindly delete claims 1-47 and 60-62 without prejudice to Applicants' right to prosecute the subject matter of the claims in a related co-pending application.

Kindly replace claims 48-53 with the following substitute claims. An appendix showing all changes to these claims is attached to the Preliminary Amendment as required under 37 C.F.R. § 1.121(b).

- 48. (Amended) A method for the preparative synthesis of a molecule comprising Fuc $\alpha 1 \rightarrow 2$ Gal $\beta 1 \rightarrow 3$ GalNAc, said method comprising contacting an isolated or purified  $\alpha 1 \rightarrow 2$ fucosyltransferase comprising an amino acid sequence as depicted in Figure 5 (SEQ ID NO: 8) with GDP-fucose and a molecule having a terminal Gal $\beta 1 \rightarrow 3$ GalNAc moiety and recovering the molecule comprising Fuc $\alpha 1 \rightarrow 2$ Gal $\beta 1 \rightarrow 3$ GalNAc.
- 49. (Amended) A method for the preparative synthesis of a glycolipid, glycoprotein, glycolipoprotein or free oligosaccharide comprising Fucα1→2Galβ1→3GalNAc, said method comprising contacting an isolated or purified protein comprising an amino acid sequence as depicted in Figure 5 (SEQ ID NO: 8) with GDP-fucose and a glycolipid,

5

6

7

1

2

3

1

2

3

4

1

2

3

4

5

6

7

1

2

3

4

5

6

7

8

glycoprotein, glycolipoprotein or free oligosaccharaide having a terminal Galβ1→3GalNAc moiety and recovering the glycolipid, glycoprotein, glycolipoprotein or free oligosaccharaide comprising Fucα1→2Galβ1→3GalNAc.

- 50. (Amended) The method according to Claim 49 wherein the  $\alpha 1 \rightarrow 2$  fucosyltransferase is contacted with an oligosaccharide comprising a terminal Galβ1→3GalNAc moiety.
- 51. (Amended) A method for the preparative synthesis of fucosyl-GM<sub>1</sub> comprising contacting an isolated or purified α1→2 fucosyltransferase comprising an amino acid sequence as depicted in Figure 5 (SEQ ID NO: 8) with GDP-fucose and the ganglioside GM<sub>1</sub> and recovering fucosyl-GM<sub>1</sub>.
- 52. (Amended) A method for the preparative synthesis of a molecule comprising Fucα1→2Galβ1→3GalNAc, said method comprising contacting a recombinant  $\alpha 1 \rightarrow 2$  fucosyltransferase or a cellular fraction of a recombinant cell containing a vector having a nucleotide sequence that encodes and expresses an amino acid sequence as depicted in Figure 5 (SEQ ID NO: 8) and having  $\alpha 1 \rightarrow 2$  fucosyltransferase activity, with GDP-fucose and a molecule having a terminal Galβ1→3GalNAc moiety and recovering a molecule comprising Fuc $\alpha 1 \rightarrow 2Gal\beta 1 \rightarrow 3GalNAc$ .
- 53. (Amended) A method for the preparative synthesis of a glycolipid, glycoprotein, glycolipoprotein or free oligosaccharide comprising Fucα1→2Galβ1→3GalNAc, said method comprising contacting an isolated or purified recombinant produced rat  $\alpha 1 \rightarrow 2$  fucosyltransferase or a cellular fraction of a recombinant cell containing a vector having a nucleotide sequence as depicted as SEQ ID NO: 7 and having  $\alpha 1 \rightarrow 2$  fucosyltransferase activity, with GDP-fucose and a glycolipid, glycoprotein, glycolipoprotein or oligosaccharide having a terminal Galβ1→3GalNAc moiety and recovering a glycolipid, glycoprotein, glycolipoprotein or free oligosaccharide comprising Fuc $\alpha 1 \rightarrow 2Gal\beta 1 \rightarrow 3GalNAc$ .

ERIC H. HOLMES et al. Serial No. Not Assigned Page 4

## Please insert the following new claims:

- 63. (New) A method for the preparative synthesis of a molecule comprising Fuc  $\alpha 1 \rightarrow 2$  Gal $\beta 1 \rightarrow 3$  GalNAc, said method comprising contacting an isolated or purified  $\alpha 1 \rightarrow 2$  fucosyltransferase comprising an amino acid sequence as depicted in Figure 3A (SEQ ID NO: 10) with GDP-fucose and a molecule having a terminal Gal  $\beta 1 \rightarrow 3$ GalNAc moiety and recovering the molecule comprising Fuc  $\alpha 1 \rightarrow 2$  Gal $\beta 1 \rightarrow 3$ GalNAc.
- 64. (New) A method for the preparative synthesis of a molecule comprising Fuc  $\alpha 1 \rightarrow 2$  Gal $\beta 1 \rightarrow 3$  GalNAc, said method comprising contacting an isolated or purified  $\alpha 1 \rightarrow 2$  fucosyltransferase consisting of an amino acid sequence as depicted in Figure 5 (SEQ ID NO: 8) with GDP-fucose and a molecule having a terminal Gal  $\beta 1 \rightarrow 3$ GalNAc moiety and recovering the molecule comprising Fuc  $\alpha 1 \rightarrow 2$  Gal $\beta 1 \rightarrow 3$ GalNAc.
- 65. (New) A method for the preparative synthesis of a molecule comprising Fuc  $\alpha 1 \rightarrow 2$  Gal $\beta 1 \rightarrow 3$  GalNAc, said method comprising contacting an isolated or purified  $\alpha 1 \rightarrow 2$  fucosyltransferase consisting of an amino acid sequence as depicted in Figure 3A (SEQ ID NO: 10) with GDP-fucose and a molecule having a terminal Gal  $\beta 1 \rightarrow 3$ GalNAc moiety and recovering the molecule comprising Fuc  $\alpha 1 \rightarrow 2$  Gal $\beta 1 \rightarrow 3$ GalNAc.
- 66. (New) A method for the preparative synthesis of a molecule comprising Fuc $\alpha 1\rightarrow 2$  Gal $\beta 1\rightarrow 3$ GalNAc, said method comprising contacting an isolated or purified  $\alpha 1\rightarrow 2$  fucosyltransferase the amino acid sequence of which consists of a catalytic domain defined by amino acids numbers 28-380 as depicted in Figure 5 (SEQ ID NO: 8) or by amino acids numbered 1-353 as depicted in Figure 3A (SEQ ID NO: 10).
- 67. (New) The method according to claim 63, wherein the molecule is a glycolipid, a glycoprotein, a glycolipoprotein or a free oligosaccharide.

- 68. (New) The method according to claim 64, wherein the molecule is a glycolipid, a glycoprotein, a glycolipoprotein or a free oligosaccharide.
- 69. (New) The method according to claim 65, wherein the molecule is a glycolipid, a glycoprotein, a glycolipoprotein or a free oligosaccharide.
- 70. (New) The method according to claim 66, wherein the molecule is a glycolipid, a glycoprotein, a glycolipoprotein or a free oligosaccharide.
- 71. (New) A method for the preparative synthesis of a fucosyl-GM<sub>1</sub>, comprising contacting an isolated or purified  $\alpha 1 \rightarrow 2$  fucosyltransferase comprising an amino acid sequence as depicted in Figure 3A (SEQ ID NO: 10) with GDP-fucose and the ganglioside GM<sub>1</sub>, and recovering fucosyl-GM<sub>1</sub>.
- 72. (New) A method for the preparative synthesis of a molecule comprising Fuc $\alpha 1 \rightarrow 2$ Gal $\beta 1 \rightarrow 3$ GalNAc, said method comprising contacting a recombinant  $\alpha 1 \rightarrow 2$  fucosyltransferase or a cellular fraction of a recombinant cell containing a vector having a nucleotide sequence that encodees and expresses an amino acid sequence as depicted in Figure 3A (SEQ ID NO. 10) and having  $\alpha 1 \rightarrow 2$  fucosyltransferase activity, with GDP-fucose and a molecule having a terminal Gal $\beta 1 \rightarrow 3$ balNAc moiety and recovering a molecule comprising Fuc $\alpha 1 \rightarrow 2$  Gal $\beta_1 \rightarrow 3$ Gal NAc.
- 73. (New) The method according to claim 72, wherein the molecule is a glycolipid, a glycoprotein, a glycolipoprotein, or a free oligosaccharide.
- 74. (New) The method according to claim 71, wherein the amino acid sequence is encoded by the nucleotide sequence as depicted as SEQ ID NO: 7.
- 75. (New) The method according to claim 72, wherein the amino acid sequence is encoded by the nucleotide sequence as depicted as SEQ ID NO: 9.

#### REMARKS

The present amendment cancels claims 1-47 and 60-62 without prejudice to Applicants' right to prosecute which are directed to other patentably distinct groups disclosed and claimed in the present application. With entry of the present amendment claims 48-52 and new claims 63-75 will be pending. The specification has been amended to include information relating to the co-pending parent application, to cancel pages i-iii comprising a Table of Contents, and to incorporate the American Type Culture Collection Accession numbers. Further, claims 48-52 have been amended to remove the multiple dependency. New claims 63-75 have been added to encompass the subject matter of the multiple dependency. No new matter is believed to be added by the above amendments.

#### CONCLUSION

In view of the foregoing, Applicants believe all claims now pending in this Application are in condition for allowance. The issuance of a formal Notice of Allowance at an early date is respectfully requested. If the Examiner believes a telephone conference would expedite prosecution of this application, please telephone the undersigned at 206-467-9600.

Respectfully submitted,

Dated: / November 2001

Brian W. Poor Reg. No. 32,928

TOWNSEND and TOWNSEND and CREW LLP Two Embarcadero Center, 8<sup>th</sup> Floor San Francisco, California 94111-3834

Tel: (206) 467-9600 Fax: (415) 576-0300

BWP:ww SE 5010162 v1

#### APPENDIX

## **VERSION WITH MARKINGS TO SHOW CHANGES MADE**

### IN THE SPECIFICATION:

# **Microorganisms**

Accession Number

CAT-RFT-pPROTA in E. coli INVα

[--]207225

FL-RFT-pcDNA3 in E. coli DH5α

[--]207224

## IN THE CLAIMS:

48. (Amended) A method for the preparative synthesis of a molecule comprising Fuc $\alpha 1 \rightarrow 2$ Gal $\beta 1$ § $\rightarrow 3$ GalNAc, said method comprising contacting [the]an isolated or purified [rat]  $\alpha 1 \rightarrow 2$ fucosyltransferase [of Claim 1, 2, 3, 4, 5, 6, or 8] comprising an amino acid sequence as depicted in Figure 5 (SEQ ID NO: 8) with GDP-fucose and a molecule having a terminal Gal $\beta 1 \rightarrow 3$ GalNAc moiety and recovering [a]the molecule comprising Fuc $\alpha 1 \rightarrow 2$ Gal $\beta 1 \rightarrow 3$ GalNAc.

49. (Amended) A method for the preparative synthesis of a glycolipid, glycoprotein, glycolipoprotein or free oligosaccharide comprising Fucα1→2Galβ1→3GalNAc, said method comprising contacting [the]an isolated or purified [rat α1→2fucosyltransferase of claim 1, 2, 3, 4, 5, 6 or 8]protein comprising an amino acid sequence as depicted in Figure 5 (SEQ ID NO: 8) with GDP-fucose and a glycolipid, glycoprotein, glycolipoprotein or free oligosaccharaide having a terminal Galβ1→3GalNAc moiety and recovering [a]the glycolipid, glycoprotein, glycolipoprotein or free oligosaccharaide comprising Fucα1→2Galβ1→3GalNAc.

50. (Amended) The method according to Claim 49 wherein the [rat]  $\alpha 1 \rightarrow 2$  fucosyltransferase is contacted with an oligosaccharide comprising a terminal Gal $\beta 1 \rightarrow 3$  GalNAc moiety.

3456

1

2

1 2

3

5

6 7

8

2

1

- 51. (Amended) A method for the preparative synthesis of fucosyl-GM₁ comprising contacting [the]an isolated or purified [rat] α1→2fucosyltransferase [of Claim 1, 2, 3, 4, 5, 6 or 8]comprising an amino acid sequence as depicted in Figure 5 (SEQ ID NO: 8) with GDP-fucose and the ganglioside GM₁ and recovering fucosyl-GM₁.
- 52. (Amended) A method for the preparative synthesis of a molecule comprising Fuc $\alpha$ 1 $\rightarrow$ 2Gal $\beta$ 1 $\rightarrow$ 3GalNAc, said method comprising contacting [the isolated or purified rat]a recombinant  $\alpha$ 1 $\rightarrow$ 2fucosyltransferase [of Claim 33, 36, 39, or 42] or [the]a cellular fraction [of Claim 34, 37, 40, or 43]of a recombinant cell containing a vector having a nucleotide sequence that encodes and expresses an amino acid sequence as depicted in Figure 5 (SEQ ID NO: 8) and having  $\alpha$ 1 $\rightarrow$ 2 fucosyltransferase activity, with GDP-fucose and a molecule having a terminal Gal $\beta$ 1 $\rightarrow$ 3GalNAc moiety and recovering a molecule comprising Fuc $\alpha$ 1 $\rightarrow$ 2Gal $\beta$ 1 $\rightarrow$ 3GalNAc.
- 53. (Amended) A method for the preparative synthesis of a glycolipid, glycoprotein, glycolipoprotein or free oligosaccharide comprising Fucα1→2Galβ1→3GalNAc, said method comprising contacting [the]an isolated or purified recombinant produced rat α1→2fucosyltransferase [of Claim 33, 36, 39, or 42] or [the]a cellular fraction [of Claim 34, 37, 40, or 43]of a recombinant cell containing a vector having a nucleotide sequence as depicted as SEQ ID NO: 7 and having α1→2 fucosyltransferase activity, with GDP-fucose and a glycolipid, glycoprotein, glycolipoprotein or oligosaccharide having a terminal Galβ1→3GalNAc moiety and recovering a glycolipid, glycoprotein, glycolipoprotein or free oligosaccharide comprising Fucα1→2Galβ1→3GalNAc.